Concepts of General Supervision

Accountability for Implementation & Improved Results

The BIG 8 of General Supervision
(and Continuous Improvement)

1. What are the minimum **Components** for General Supervision ?

2. How do the Components form a state **System** ?

3. What are the annual **Processes** operating within the system ?

Difference between
Concepts & a
Model

Each state develops
Its Own Model
of General Supervision
based on what's required and desired

Components of General Supervision

Ask Yourself How Each Piece Operates and Fits Into the Whole

- State Performance Plan
- Policies, Procedures, and Effective Implementation
- Integrated Monitoring Activities
- Fiscal Management
- Data on Processes and Results
- Improvement, Correction, Incentives & Sanctions
- Effective Dispute Resolution
- Targeted Technical Assistance & Professional Development

Accountability's Big View

How Does the Big 8 Fit Into a State System?

- State Plan for NCLB
- State Performance Plan
- Policies, Procedures & Effective Implementation
- Integrated Monitoring Activities
- Fiscal Management
- Data on Processes and Results
- Data on NCLB Student Performance & AYP
- Improvement, Correction, Incentives & Sanctions
- Effective Dispute Resolution
- Targeted Technical Assistance & Professional Development
- Reading First Technical Assistance & Professional Development
- Improvement, Correction for NCLB

What accountability requirements for other programs have?

Requirements:
State Performance Plan

☐ **76.720 and 80.40 Annual performance reports**

☐ **300.157 Performance goals and indicators**

☐ **300.601 State performance plan**

☐ **300.600 (c) and (d) Monitoring and enforcement**

State Performance Plan

☐ **300.602 Targets and reporting**

State Performance Plan

- **Stakeholders should be actively involved in all aspects of the SPP.**

- **The development and implementation of the SPP leads to improved results.**

- **Reporting is critical to ensuring accountability to the public.**

- **The SPP is the blueprint for systems change.**

State Performance Plan

Requirements: Policies, Procedures & Effective Implementation

- ❑ **1232d(b)(1) Program administered in accordance with rules**
- ❑ **1232e(b)(1) LEA administers program in accordance with rules**
- ❑ **76.700 Compliance with statutes**
- ❑ **300.100 State policies and procedures (State plan)**
- ❑ **300.154 Methods of ensuring services**
- ❑ **300.200-300.201 LEA policies and procedures**

Policies, Procedures & Effective Implementation

Policies, Procedures & Effective Implementation

- **Aligned with IDEA**
- **Implemented by local programs**
- **Include methods to detect noncompliance and ensure correction of noncompliance**
- **Encourage program improvement through improvement planning and incentives**
- **Include current interagency agreements and memoranda of understanding (MOU) when required to ensure implementation of IDEA**
- **Have mechanisms to determine effectiveness of agreements and MOUs**

Policies, Procedures & Effective Implementation

Requirements: Effective Dispute Resolution

- ❑ **300.150 Procedural safeguards**

- ❑ **300.151-300.153 Complaint procedures**

- ❑ **300.500 Procedural safeguards**

- ❑ **300.504 Procedural safeguards notice**

Effective Dispute Resolution

Effective Dispute Resolutions

- **Are timely**
- **Track issues**
- **Inform on-site and off-site monitoring activities**
- **Periodically evaluate effectiveness of resolutions**
- **Determine parents and families and students understand their rights, especially in cases where there are few or no complaints, hearings, or other resolutions**

Effective Dispute Resolution

Requirements: Data on Processes & Results

- ❑ **1232d(b)(4) Evaluate effectiveness**

- ❑ **1232e(b) LEAs report to the SEA, board, Secretary**

- ❑ **300.601(b) Data collection**

- ❑ **300.602 Targets and reporting**

- ❑ **300.640 Annual report of children served**

Data on Processes & Results

Data on Processes & Results

- Collection and verification
  - 618
  - Dispute resolution
  - Previous monitoring reports
  - other
- Examination and analyses
  - Areas of state concern
  - Clusters of related indicators
- Reporting
  - Annual Performance Report (State)
  - LEA Performance compare to State Targets
- Status determination
- Improvement
  - Data are used to plan and revise activities

Data on Processes & Results

Requirements: Integrated Monitoring Activities

- ❑ **1232d(b)(3)(A) Proper methods of monitoring**
- ❑ **300.120 Monitoring LRE**
- ❑ **300.149 SEA responsibility for general supervision**
- ❑ **300.600 State monitoring**

Integrated Monitoring Activities

Integrated Monitoring Activities

- Stakeholders are involved.
- Protocols focus on specific hypotheses for the selected area.
- Teams include family members.
- Investigation is related to noncompliance and program improvement.
- Multiple methods and multiple data sources exist to monitor every program, every year.
- All monitoring activities include continuous examination of performance for compliance and results.
- Written reports specify necessary evidence of correction and of improvement.
- Internal and external technical assistance and professional development support improvement and correction.

Integrated Monitoring Activities

Requirements: Targeted TA and Professional Dev

- ❑ **1232d(b)(3)(B), (C), (D) Provide TA, promising practices and disseminate information**
- ❑ **1232e(b)(8) LEA has effective dissemination to teachers and administrators**
- ❑ **300.119 TA on LRE**
- ❑ **300.156 Personnel qualifications**

Targeted T/A & Prof Dev

Targeted Technical Assistance & Professional Development

- Are directly connected to the SPP and improvement activities
- Are provided to correct noncompliance and improve results
- Use principles of adult learning and standards for professional development
- Measure the effectiveness of implementation
- Incorporate various agencies in development and dissemination
- Distribute promising practices and evidence based practices to local programs

Targeted T/A & Prof Dev

Requirements: Improvement, Correction, Incentives, & Sanctions
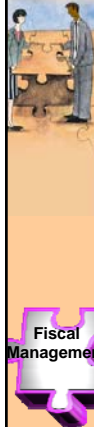
- ❑ **1232d(b)(3(A) and (E) Proper methods – correction and enforcement**
- ❑ **80.12 Special conditions**
- ❑ **80.43 Enforcement**
- ❑ **300.222 LEA compliance**
- ❑ **300.600 State monitoring and enforcement**
- ❑ **300.603-300.604 Determinations and enforcement actions**
- ❑ **300.608 Enforcement**

Improvement & Correction, Incentives & Sanctions

Requirements: Fiscal Management

- **300.704 and 705 Distribution of funds**
- **300.209 Treatment of charter schools**
- **300.133 Private schools proportionate share**
- **300.163 and 300.203-300.205 Maintenance of effort**
- **300.162 and 300.202 Excess cost/supplement not supplant**
- **300.226 Early intervening services 15%**
- **OMB Circular A-133 – Single Audits**

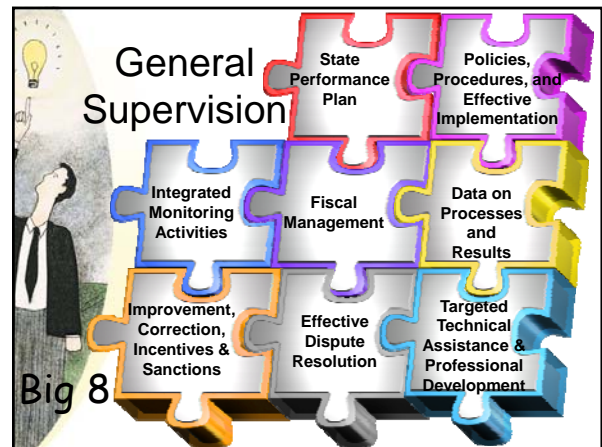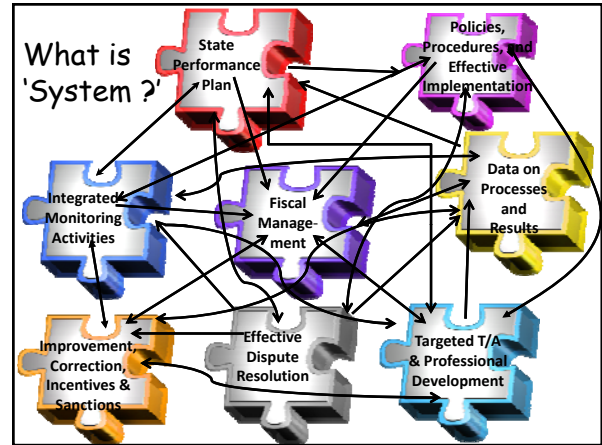**Fiscal Management**

Fiscal Management

- ➤ **States distribute funds in accordance with Federal requirements.**
- ➤ **Funds are used in accordance with federal and state requirements.**
- ➤ **States provide oversight on the use of funds.**
- ➤ **Funds are aligned to Problem Areas in the SPP/APR**

Fiscal Management

Describing a 'System' of General Supervision

Problems in Description (beginning List)

- ✓ Equating General Supervision as only On-Site Monitoring
- ✓ Viewing Administration as a collection of Separate and Isolated Functions
- ✓ Defining Accountability as an Event rather than a 'state' and Process
- ✓ others?

What is 'System?'

State Performance Plan · Policies, Procedures, and Effective Implementation · Integrated Monitoring Activities · Fiscal Management · Data on Processes and Results · Improvement, Correction, Incentives & Sanctions · Effective Dispute Resolution · Targeted T/A & Professional Development

It's about Better Results

General Supervision

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Big 8

4

For More Information

- www.ed.gov

- www.monitoringcenter.lsuhsc.edu

- www.rrfcnetwork.org

It's about Better Results